

# MORE INVESTIGATIONS IN POTENT ACTIVITY AND RELATIONSHIP STRUCTURE OF THE LICHEN ANTIBIOTIC (+)-USNIC ACID AND ITS DERIVATE DIBENZOYLUSNIC ACID

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**Key Words:** lichens, usnic acid, dibenzoylusnic acid, antibacterial activity, relationship structure, ditch-diffusion method.

## ABSTRACT

By reacting R-(+)-usnic acid (**1**) with benzoyl chloride in presence of pyridine gave the dibenzoylusnic acid (**2**). The antibacterial activity and relationship structure of acid usnic an old lichen drug and its derivate dibenzoylusnic acid was studied against nine ATCC bacteria and one bacterium clinical isolate. / *Mediante la reacción del R-(+)-ácido úsnico con cloruro de benzoilo en presencia de piridina se obtuvo el ácido dibenzoilúsnico. Se estudio la relación de actividad antibacteriana y estructura del R-(+)-ácido úsnico y su derivado el ácido dibenzoilúsnico contra nueve bacterias ATCC y una bacteria clínicamente aislada.*

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## INTRODUCCION

The ever more frequent overuse of antibiotics, in the treatment of bacterial infections, is bringing on an increase of pathogen microorganisms that become resistant to common treatments. Consequently, it is necessary to increase the administered doses or to provide new products<sup>1</sup>.

Medicinal chemists are still actively seeking new and improved antibacterial agents to combat the worrying ability of bacteria to acquire resistance to current drugs. For example, 60 % of *Streptococcus pneumoniae* strains are resistant to  $\beta$ -lactams and 60 % of *Staphylococcus aureus* strains are resistant to **methicillin**. The last resort in treating *S. aureus* infections is **vancomycin**, but resistance is beginning to appear to that antibiotic as well. Some strains of *Enterococcus faecalis* appearing in urinary and wound infections are resistant to all known antibiotics and are untreatable. If resistance continues to grow, medicine could be plunged back to the 1930s<sup>2</sup>.

The many functional groups of R-(+)-usnic acid (**1**) make the molecule a good target for structural modification. The compound reacts with amines, hydrazines and acyl hydrazides to form condensation products, undergoes esterification, and gives numerous degradation derivatives and forms dihydrousnic acid on reduction. Usnic acid salts are usually unstable. A large number of publications dating from 1962 to 1985 bear witness to the extensive work of Japanese researchers on the chemistry of usnic acid and reaction mechanisms (Takani and Takahashi, 1985, last in series). In another excellent series, Canadian scientists (Kutney et al., 1984, last in series) described preparation of numerous usnic acid biodegradation products and intermediate derivatives as well as conversion of usnic acid to isousnic acid through base-catalyzed rearrangement<sup>3, 4, 5</sup>.

In relation to a study aimed at the relationship structure of the R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) endowed with antibacterial activity present here the results obtained investigating the R-(+)-usnic acid (**1**) as a lead compound.

## Lichens

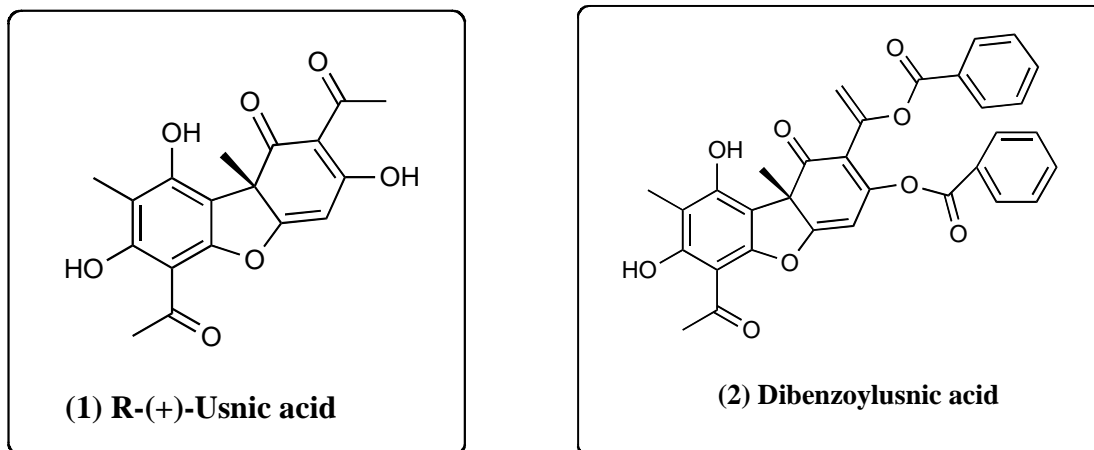
Lichens, symbiotic organisms of fungi and algae, synthesize numerous metabolites, the "lichen substances", which comprise aliphatic, cycloaliphatic, aromatic, and terpenic compounds. Lichens have been used in Traditional Medicine in many countries over a considerable period time. Lichens and their metabolites have a manifold biological activity: antiviral, antibiotic, allergenic, plant growth inhibitory, antiherbivore, and enzyme inhibitory. Their efficacy is due to the synthesis of unique secondary compounds<sup>6</sup>.

### Chemistry and activity of Acid usnic

R-(+)-usnic acid (**1**) is a naturally occurring compound and very active lichen substance that is used in pharmaceutical preparations. It can be obtained from different lichens species<sup>7</sup>. It is a product of the secondary metabolism of the fungal partner and it exists in two en-antiomers. Both the R-(+) and S-(-) forms are known<sup>8</sup>. A severe limitation to its practical use is its low solubility in organic solvents and in water. Accordingly, an interest arises in the preparation of derivatives maintaining the antibiotic activity or improve the antibiotic activity but characterized by more favorable solubility.

Biological and medical papers on lichens started to appear after the end of the World War II. The most investigated lichen substance is the secondary metabolite, usnic acid, universally known as an antibiotic<sup>9</sup>.

With the aim to obtain a derivative of usnic acid for pharmacological evaluation, the preparation of the dibenzoylusnic acid (**2**) for the relationship structure and antibacterial activity studies were investigated starting from R-(+)-usnic acid (**1**).



Structures of R-(+)-usnic acid and its derivate dibenzoylusnic acid

## RESULTS

### Structure elucidation

R-(+)-usnic acid (**1**) was obtained yellow crystals. The FABMS of (**1**) showed a protonated molecular ion at ( $[M+1]$   $m/z$  345), while  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra indicated the presence of 16 protons and 18 carbon atoms. This was consistent with the molecular formula  $\text{C}_{18}\text{H}_{16}\text{O}_7$ . The  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) spectrum of (**1**) displayed signals for four methyl groups at  $\delta$  1.77 s,  $\delta$  2.14 s,  $\delta$  2.67 s,  $\delta$  2.68 s, an aromatic proton at  $\delta$  5.98 s and at  $\delta$  11.10 s. The  $^{13}\text{C}$  NMR spectrum revealed the presence of eighteen carbon atoms four methyls, thirteen non-protonated unsaturated carbons and one protonated unsaturated carbon. In the HMBC spectrum correlations peaks were observed between the following protons and carbons: In the HMBC spectrum,  $\delta$  5.98 (1H, s, H-4) correlated with C-4a ( $\delta$  179.8) and C-9b ( $\delta$  59.5);  $\delta$  2.68 (3H, s,  $\text{CH}_3\text{CO-6}$ ) with C-6 ( $\delta$  101.9) and CO ( $\delta$  200.8);  $\delta$  2.67 (3H, s,  $\text{CH}_3\text{CO-2}$ ) with C-2 ( $\delta$  105.7) and CO ( $\delta$  202.2);  $\delta$  1.77 (3H, s,  $\text{CH}_3\text{-9b}$ ) with C-9b ( $\delta$  59.5), C-4a ( $\delta$  179.8), C-8 ( $\delta$  109.7) and C-1 ( $\delta$  198.5);  $\delta$  2.14 (3H, s,  $\text{CH}_3\text{-8}$ ) with C-8 ( $\delta$  109.7), C-9 ( $\delta$  157.9) and C-7 ( $\delta$  164.3);  $\delta$  11.10 (1H, s, OH-9) with C-8 ( $\delta$  109.7) and C-9 ( $\delta$  157.9). The structure elucidation of R-(+)-usnic acid was confirmed with comparison with literature data<sup>3,10</sup>(Fig 1).

The compound (**1**) was esterified with benzoyl chloride in presence of chloroform and pyridine gave the dibenzoylusnic acid (**2**). The  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) spectrum of (**2**) showed signals of three methyl groups at  $\delta$  1.74 s,  $\delta$  1.96 s and  $\delta$  2.49 s; the presence of vinyl protons at  $\delta$  5.12 (1H,  $d=1.76$  Hz) and  $\delta$  5.45 (1H,  $d=1.76$  Hz); the signals of aromatic protons were observed between at  $\delta$  7.0 - 8.0 ppm, and two singlets were observed at  $\delta$  5.92 s and at  $\delta$  10.41 s. The elemental composition of (**2**) was determined to be  $\text{C}_{32}\text{H}_{24}\text{O}_9$  from its FABMS and  $^1\text{H}$  and  $^{13}\text{C}$ -NMR data. In the HMBC spectrum correlations were observed between  $\delta$  1.74 (3H, s,  $\text{CH}_3\text{-9b}$ ) and C-9b ( $\delta$  61.0), C-1 ( $\delta$  201.2), C-4a ( $\delta$  174.2) and C-9a ( $\delta$  104.3); between  $\delta$  1.96 (3H, s,  $\text{CH}_3\text{-8}$ ) and C-8 ( $\delta$  109.3), C-9 ( $\delta$  157.8) and C-7 ( $\delta$  164.2); between  $\delta$  2.49 (3H, s,  $\text{CH}_3\text{CO-6}$ ) and C-6 ( $\delta$  102.1), CO ( $\delta$  200.9) and C-9a ( $\delta$  104.3); between  $\delta$  5.12 (1H,  $d=1.76$  Hz) and  $\delta$  5.45 (1H,  $d=1.76$  Hz) with C-2 ( $\delta$  114.9),  $\text{CH}_2$  ( $\delta$  110.2), C ( $\delta$  135.0); between  $\delta$  5.92 (1H, s, H-4) and C-9b ( $\delta$  61.0), C-4a ( $\delta$  174.2), C-2 ( $\delta$  114.9) and C-3 ( $\delta$  165.4) and between  $\delta$  10.41 (1H, s, OH- )

and C-9a ( $\delta$  104.3) and C-9 ( $\delta$  157.8), a significant correlation between  $\delta$  7.91 (2H, *d*, Ar) and CO ( $\delta$  163.3) and 46.between  $\delta$  7.72 (2H, *d*, Ar) and CO ( $\delta$  164.7). The structure (**2**) was established as dibenzoylusnic acid<sup>7</sup> (Fig. 1)

### Antibacterial Activity

We investigated the antibacterial activity and relationship structure of R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) against nine bacteria ATCC and one clinical isolate. The results obtained in the agar diffusion studies are shown in Table 1.

**Table 1: Antibacterial activity of R-(+)-acid usnic (1) and its derivate dibenzoylusnic acid (2) against nine bacteria ATCC and one clinical isolate**

Microorganism	Inhibitory zone (mm)			
	Lead Natural Product R-(+)-Acid usnic ( <b>1</b> ) 50 mg/L	Derivate product dibenzoylusnic acid ( <b>2</b> ) 50 mg/L	Gentamicin 8 mg/L	DMSO 0.1 mL
<i>E. coli</i> ATCC 25922 (sensible)	-	-	30	-
<i>E. coli</i> ATCC 35218 (resistant)	-	-	25	-
<i>S. aureus</i> ATCC 25923 (sensible)	20	20	30	-
<i>S. aureus</i> ATCC 29213 (resistant)	20	24	30	-
<i>P. aeruginosa</i> ATCC 27853 (sensible)	18	22	30	-
<i>S. flexneri</i> ATCC 12022	30	20	30	-
<i>K. pneumoniae</i> ATCC 70063 (resistant)	-	-	30	-
<i>B. subtilis</i> ATCC 6636	40	20	40	-
<i>L. monocytogenes</i> ATCC 7644	-	-	40	-
<i>S. typhi</i> (clinical isolate)	-	-	30	-

### Structure-Activity Relationships

The natural product R-(+)-usnic acid (**1**) like a lead compound and its derivate dibenzoylusnic acid (**2**) have a variety of functional groups present in their structures and the Fig. 1 shows the potential binding interactions that are possible with a target-binding site.

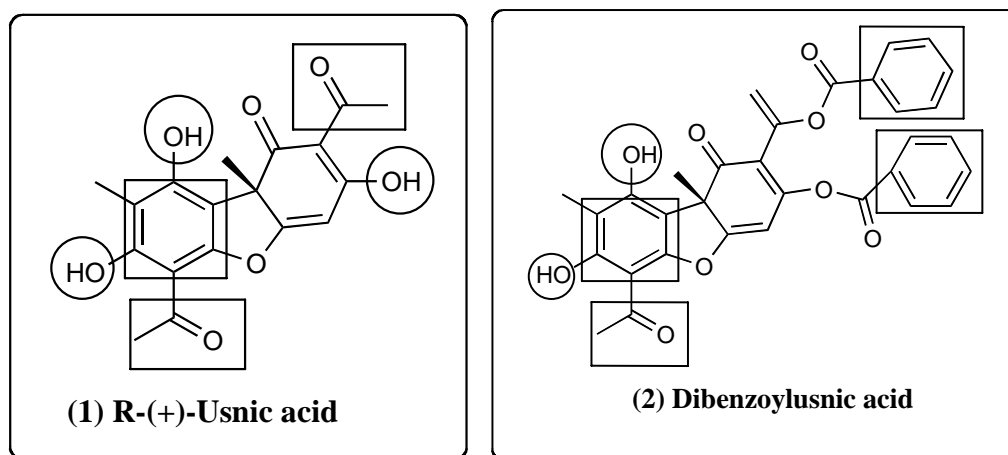
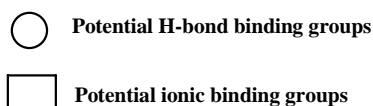


Fig. 2 Potential binding interactions of R-(+)-usnic acid and its derivate dibenzoylusnic acid



## DISCUSSION

The antibacterial activity of the R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) against *Escherichia coli* ATCC 25922 (sensible), *Escherichia coli* ATCC 35218 (resistant), *Staphylococcus aureus* ATCC 25923 (sensible), *Staphylococcus aureus* ATCC 29213 (resistant), *Pseudomona aeruginosa* ATCC 27853 (sensible), *Shigella flexneri* ATCC 12022, *Klebsiella pneumoniae* ATCC 70063 (resistant), *Bacillus subtilis* ATCC 6636, *Listeria monocytogenes* ATCC 7644 and *Salmonella typhi* (clinical isolate) is shown in Table 1.

The R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) showed inhibitory activity against *Staphylococcus aureus* ATCC 25923 (sensible), *Staphylococcus aureus* ATCC 29213 (resistant), *Pseudomona aeruginosa* ATCC 27853 (sensible), *Shigella flexneri* ATCC 12022 and *Bacillus subtilis* ATCC 6636.

The R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) showed similar inhibitory activity against *Staphylococcus aureus* ATCC 25923 (sensible).

The R-(+)-usnic acid (**1**) showed less inhibitory activity with a little difference against *Staphylococcus aureus* ATCC 29213 (resistant) and *Pseudomona aeruginosa* (sensible) than its derivate dibenzoylusnic acid (**2**).

The R-(+)-usnic acid (**1**) showed more considerably inhibitory activity against *Shigella flexneri* ATCC 12022 and *Bacillus subtilis* ATCC 6636 than its derivate dibenzoylusnic acid (**2**).

The R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) did not show any inhibitory activity against *Escherichia coli* ATCC 25922 (sensible), *Escherichia coli* ATCC 35218 (resistant), *Klebsiella pneumoniae* ATCC 70063 (resistant), *Listeria monocytogenes* ATCC 7644 and *Salmonella typhi* (clinical isolate).

## CONCLUSIONS

It can be conclude that the derivate dibenzoylusnic acid (**2**) shows a similar inhibitory activity against *Staphylococcus aureus* ATCC 25923 (sensible), significantly lowered antibacterial activity against *Staphylococcus aureus* ATCC 29213 (resistant) and *Pseudomona aeruginosa* (sensible), then the modification with an esterification of R-(+)-usnic acid (**1**) has been important.

It can be conclude too that the derivate dibenzoylusnic acid (**2**) shows less considerably inhibitory activity against *Shigella flexneri* ATCC 12022 and *Bacillus subtilis* ATCC 6636, then the modification with an esterification of R-(+)-usnic acid (**1**) has not been important.

The R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) are pharmacophore that have groups which are important for the antibacterial activity.

The antibacterial activity of the R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) against gram (+) and gram (-) bacteria indicates that both are compounds of broad spectrum.

Despite a shortage of clinical trials, it can be concluded that scientific investigations justify to some extent

reputed medicinal effects of R-(+)-usnic acid (**1**) and derivatives.

Inhibitory activity of R-(+)-usnic acid (**1**) and its derivate dibenzoylusnic acid (**2**) against Gram-positive and Gram-negative bacteria, including antibiotic-resistant pathogenic strains, definitely seems to merit further study. It should also be remembered that derivatization to potentate antimicrobial activity has in some cases been successful despite a limited number of studies. Results obtained for other types of biological activity could also prove worthy of further pursuit, as could comparison of derivate structure–activity relationships between the R-(+)-acid usnic (**1**) and other derivatives. Furthermore, R-(+)-usnic acid (**1**) could serve as a lead structure for exploitation in novel therapeutic areas.

## GENERAL EXPERIMENTAL PROCEDURES MATERIALS AND METHODS

### General

All melting points were recorded with a Reichter microscope. The UV and IR spectra were recorded with a Varian Cary 2290 and a Perkin-Elmer 298 spectrometer, respectively.  $^1\text{H}$  NMR (400 MHz) and  $^{13}\text{C}$  NMR (100 MHz) spectra were recorded in  $\text{CDCl}_3$  and MeOD usin a Bruker DRX400 spectrometer with an inverse multinuclear 5-mm probe head equipped with a shielded gradient coil. COSY, HMQC and HMBC experiments were recorded with gradient enhancements using-sine-shape gradient pulses. EIMS were recorded with a JEOL SX102 spectrometer at 70 eV. Column chromatography was run on Merk silica gel 60 and TLC was carried out on Silica gel GF<sub>254</sub>.

### Plant Material, Extraction and Isolation

The *Alectoria ochroleuca* material was dried and powdered, 56.0 g were extracted with acetone at room temperature. During the extraction, yellow crystals of R-(+)-usnic acid (**1**) separated out. They were recrystallized from  $\text{CH}_2\text{Cl}_2$ , precipitated by the addition of methanol yielding 5.1 g corresponding to 9.1 % of the materials dry. The compound (**1**) was esterified with benzoil chloride in presence of chloroform and pyridine for 24 h at 18 °C to give the dibenzoylusnic acid (**2**).

*R-(+)-Usnic acid (1)*  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz);  $\delta$  198.5 (C-1),  $\delta$  105.7 (C-2),  $\delta$  202.2 (C-2-OCCH<sub>3</sub>),  $\delta$  28.3 (C-2-OCCH<sub>3</sub>),  $\delta$  192.1 (C-3),  $\delta$  98.4 (C-4),  $\delta$  179.8 (C-4a),  $\delta$  101.9 (C-6),  $\delta$  200.8 (C-6-OCCH<sub>3</sub>),  $\delta$  31.7 (C-6-OCCH<sub>3</sub>),  $\delta$  155.6 (C-6a),  $\delta$  164.3 (C-7),  $\delta$  109.7 (C-8),  $\delta$  7.9 (C-8-CH<sub>3</sub>),  $\delta$  157.9 (C-9),  $\delta$  105.7 (C-9a),  $\delta$  59.5 (C-9b),  $\delta$  32.6 (C-9b-CH<sub>3</sub>).

*dibenzoylusnic acid (2)*  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz);  $\delta$  201.2 (C-1),  $\delta$  114.9 (C-2),  $\delta$  200.9 (C-2-OCCH<sub>3</sub>),  $\delta$  165.4 (C-3),  $\delta$  96.9 (C-4),  $\delta$  174.2 (C-4a),  $\delta$  102.1 (C-6),  $\delta$  200.9 (C-6-OCCH<sub>3</sub>),  $\delta$  31.7 (C-6-OCCH<sub>3</sub>),  $\delta$  133.9 (C-6a),  $\delta$  164.2 (C-7),  $\delta$  109.3 (C-8),  $\delta$  8.0 (C-8-CH<sub>3</sub>),  $\delta$  157.8 (C-9),  $\delta$  104.3 (C-9a),  $\delta$  61.0 (C-9b),  $\delta$  31.4 (C-9b-CH<sub>3</sub>),  $\delta$  135.0 (C-2-C),  $\delta$  110.2 (C-2-C-CH<sub>2</sub>),  $\delta$  164.7 (C-2-C-OCOPh),  $\delta$  163.3 (C-3-O-COPh)

### Test microorganisms

The microbial strains studied were *Escherichia coli* ATCC 25922 (sensible), *Escherichia coli* ATCC 35218 (resistant), *Staphylococcus aureus* ATCC 25923 (sensible), *Staphylococcus aureus* ATCC 29213 (resistant), *Pseudomona aeruginosa* ATCC 27853 (sensible), *Shigella flexneri* ATCC 12022, *Klebsiella pneumoniae* ATCC 70063 (resistant), *Bacillus subtilis* ATCC 6636, *Listeria monocytogenes* ATCC 7644 and *Salmonella typhi* (clinical isolate) and were obtained from INLASA (Instituto Nacional de Laboratorios en Salud) of Bolivia.

### Antibacterial assay

#### Agar ditch diffusion method

Fresh pure bacteria suspensions were obtained from overnight cultures in Muller Hinton Broth (BBL™ trademark of Becton, Dickinson and Company) cultivated at 37°C for 24 h. The bacterial suspensions were adjusted to an inoculum size  $10^8$  cells/mL for inoculation of the agar plates.

After the medium (Difco Bacto Mueller Hinton Medium) was solidified in the plates, the test strain (0,2 mL) was inoculated into the media. Care was taken to ensure proper homogenization. The suspensions were spread on the medium. Four ditches were made in the plates with the help of a cup-borer (13 mm). For the in vitro studies compounds were dissolved in 100  $\mu\text{L}$  of dimethyl sulfoxide (DMSO). One ditch was used with 100  $\mu\text{L}$  of dimethyl sulfoxide (DMSO) as solvent control, and on other one ditch was used with 100  $\mu\text{L}$  of gentamicin as antibacterial control. The test compounds, the DMSO and the gentamicin were introduced in each ditch and the plates were incubated at 37°C for 24 h. Microbial growth was determined by measuring the diameter of the zone of inhibition<sup>11, 12</sup>. All tests were performed in duplicate 3.

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